

08/22/01



PTO SB 05 (03-01)

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Approved for use through 10/31/2002. OMB 0651-0032  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. DB000575-012  
 First Inventor Keeth et al.  
 Title 256 MEG DYNAMIC RANDOM ACCESS MEMOR  
 Express Mail Label No. EI859862909US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
 (Submit an original and a duplicate for processing)  
 2. ☐ Applicant claims small entity status.  
 See 37 CFR 1.27.  
 3. ☒ Specification [Total Pages 232]  
 (preferred arrangement set forth below)  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table,  
 or a computer program listing appendix  
 - Background of the invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure  
 4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 367]  
 5. Oath or Declaration [Total Pages 5]  
 a. ☐ Newly executed (original or copy)  
 b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
 (for continuation/divisional with Box 18 completed)  
 i. ☒ DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).  
 6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
 Computer Program (Appendix)  
 8. Nucleotide and/or Amino Acid Sequence Submission  
 (if applicable, all necessary)  
 a. ☐ Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i. ☐ CD-ROM or CD-R (2 copies); or  
 ii. ☐ paper  
 c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))  
 10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney  
 (when there is an assignee) ☐ Attorney  
 11. ☐ English Translation Document (if applicable)  
 12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations  
 13. ☐ Preliminary Amendment  
 14. ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)  
 15. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)  
 16. ☐ Nonpublication Request under 35 U.S.C. 122  
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35  
 or its equivalent.  
 17. ☒ Other: Microfiche Appendix

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)  
 Prior application information: Examiner M. Tran

of prior application No. 09, 620,606  
 Group Art Unit: 2818

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name Edward L. Pencoske  
 Address Thorp Reed & Armstrong, LLP  
 One Oxford Centre, 301 Grant Street, 14th Floor  
 City Pittsburgh State PA Zip Code 15219-1425  
 Country United States Telephone (412) 394-7789 Fax (412) 394-2555

Name (Print/Type) Edward L. Pencoske Registration No. (Attorney/Agent) 29,688

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 710

**Complete If Known**

|                      |                  |
|----------------------|------------------|
| Application Number   | Not yet assigned |
| Filing Date          | June 20, 2001    |
| First Named Inventor | Keeth            |
| Examiner Name        | Not yet assigned |
| Group Art Unit       | Not yet assigned |
| Attorney Docket No.  | DB000575-012     |

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 20-0888
- Deposit Account Name \_\_\_\_\_
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. **Payment Enclosed:**
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity    | Small Entity | Fee Description        | Fee Paid |
|-----------------|--------------|------------------------|----------|
| Code (\$)       | Code (\$)    |                        |          |
| 101 710 201 355 |              | Utility filing fee     | 710      |
| 106 320 206 160 |              | Design filing fee      |          |
| 107 490 207 245 |              | Plant filing fee       |          |
| 108 710 208 355 |              | Reissue filing fee     |          |
| 114 150 214 75  |              | Provisional filing fee |          |

SUBTOTAL (1) (\$ ) 710

**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 19                 | 20** = 0     | 18             | 0        |
| 3                  | 3** = 0      | 80             | 0        |
| Multiple Dependent |              | 0              | 0        |

**Large Entity Small Entity**

| Fee Code (\$)   | Fee Code (\$) | Fee Description  |
|-----------------|---------------|--|
| 103 18 203 9    |               | Claims in excess of 20                                     |
| 102 80 202 40   |               | Independent claims in excess of 3                          |
| 104 270 204 135 |               | Multiple dependent claim, if not paid                      |
| 109 80 209 40   |               | ** Reissue independent claims over original patent         |
| 110 18 210 9    |               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ ) 0

\*\*for number previously paid. If greater: For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity          | Small Entity | Fee Description  | Fee Paid |
|-----------------------|--------------|--|----------|
| Code (\$)             | Code (\$)    |  |          |
| 105 130 205 65        |              | Surcharge - late filing fee or oath  |          |
| 127 50 227 25         |              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130 139 130       |              | Non-English specification  |          |
| 147 2,520 147 2,520   |              | For filing a request for ex parte reexamination                            |          |
| 112 920* 112 920*     |              | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840* 113 1,840* |              | Requesting publication of SIR after Examiner action                        |          |
| 115 110 215 55        |              | Extension for reply within first month                                     |          |
| 116 390 216 195       |              | Extension for reply within second month                                    |          |
| 117 890 217 445       |              | Extension for reply within third month                                     |          |
| 118 1,390 218 695     |              | Extension for reply within fourth month                                    |          |
| 128 1,890 228 945     |              | Extension for reply within fifth month                                     |          |
| 119 310 219 155       |              | Notice of Appeal   |          |
| 120 310 220 155       |              | Filing a brief in support of an appeal                                     |          |
| 121 270 221 135       |              | Request for oral hearing   |          |
| 138 1,510 138 1,510   |              | Petition to institute a public use proceeding                              |          |
| 140 110 240 55        |              | Petition to revive - unavoidable   |          |
| 141 1,240 241 620     |              | Petition to revive - unintentional   |          |
| 142 1,240 242 620     |              | Utility issue fee (or reissue)   |          |
| 143 440 243 220       |              | Design issue fee   |          |
| 144 600 244 300       |              | Plant issue fee  |          |
| 122 130 122 130       |              | Petitions to the Commissioner  |          |
| 123 50 123 50         |              | Processing fee under 37 CFR 1.17(c)  |          |
| 126 180 126 180       |              | Submission of Information Disclosure Stmt                                  |          |
| 581 40 581 40         |              | Recording each patent assignment per property (times number of properties) |          |
| 146 710 246 355       |              | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 710 249 355       |              | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 710 279 355       |              | Request for Continued Examination (RCE)                                    |          |
| 169 900 169 900       |              | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0

**SUBMITTED BY****Complete (if applicable)**

|                   |                           |                                   |            |           |                |
|-------------------|---------------------------|-----------------------------------|------------|-----------|----------------|
| Name (Print/Type) | Edward L. Pencoske        | Registration No. (Attorney/Agent) | 29,688     | Telephone | (412) 394-7789 |
| Signature         | <i>Edward L. Pencoske</i> | Date                              | 20 June 01 |           |                |

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